# COMPETENCE ASSESSMENT TOOL

UP Marine Science Institute East Asia Seas Congress 27-30 November 2018



Strengthening Marine Protected Areas to Conserve Marine Key Biodiversity Areas in the Philippines





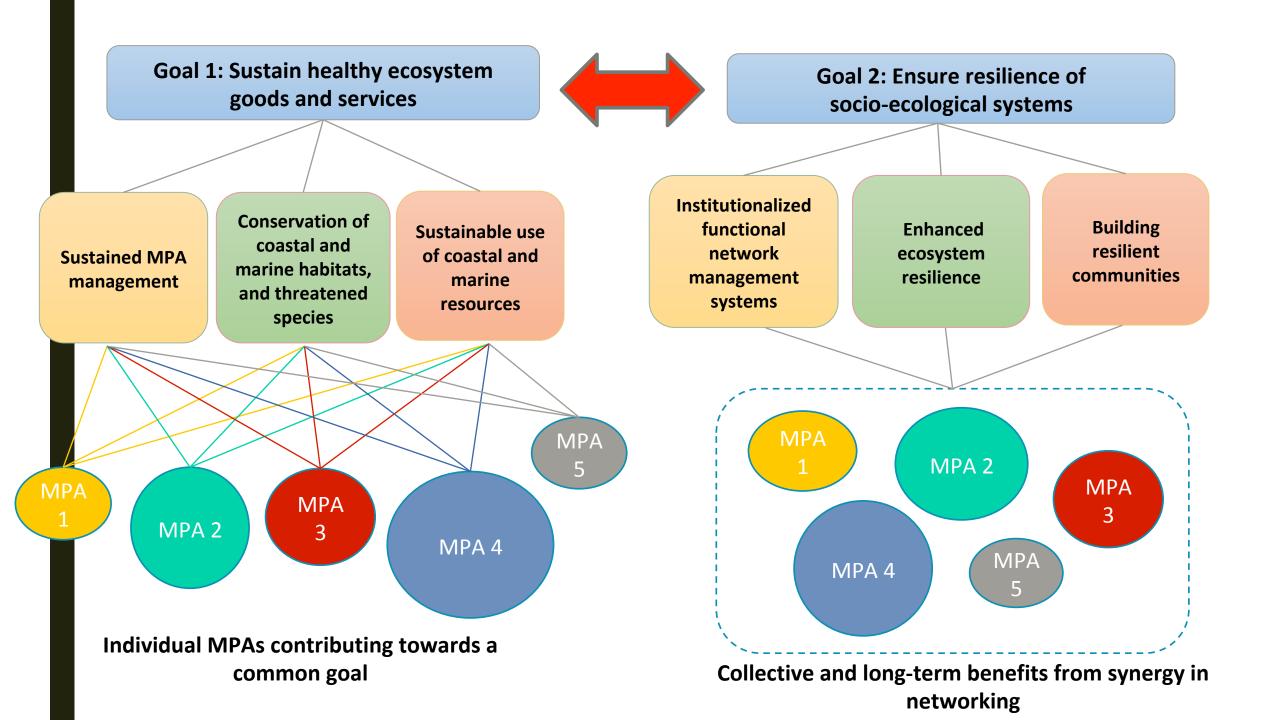




# SMARTSeas PH - UP MSI Objectives

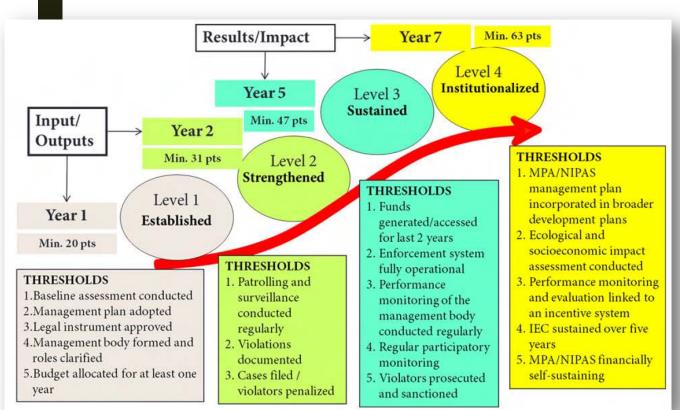


- 1. Development of Monitoring & Evaluation (M&E) System (i.e. ecological, governance, socio-economic) to assess and evaluate the benefits of Marine Protected Area Networks (MPANs);
- 2. Development of competence standards for MPA/N Managers, which include level of skills and competence
- 3. Updating and enhancing of MSN Database.



# MPA Management Assessment Tools

- Can be used to identify gaps in the governance aspects





| MEAT level | Number of MPAs (as of 2014) | %     |
|------------|-----------------------------|-------|
| 4          | 20                          | 1.12  |
| 3          | 63                          | 3.53  |
| 2          | 125                         | 7.01  |
| 1          | 65                          | 3.65  |
| 0          | 261                         | 14.64 |
| No MEAT    | 1249                        | 70.05 |

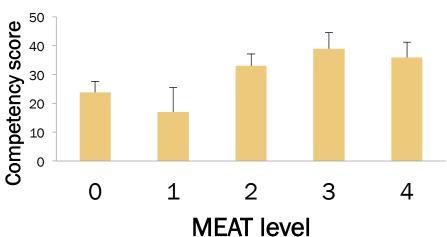
# Linking competency of managers in MPA management performance

# Human resource capacity is a strong predictor of conservation impacts (Gill et al., 2017)

#### Hypothesis:

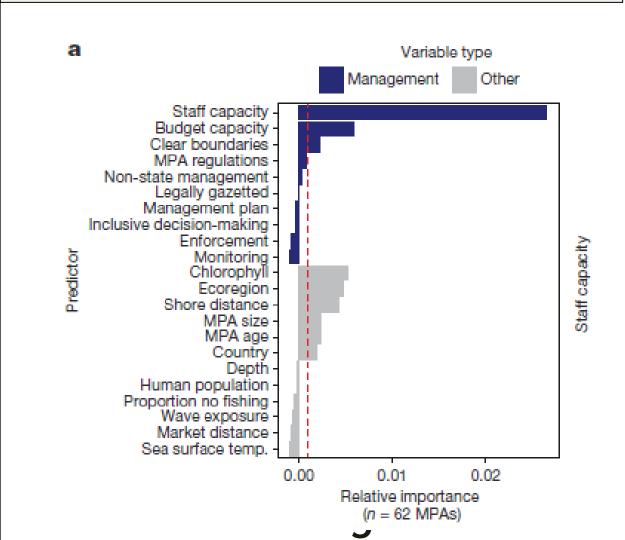
As competency of MPA managers increases, MPA management effectiveness also increases

#### Results:



#### Conclusion:

Generally, MPAs with high MPA MEAT Levels have higher competency scores than MPAs with lower MPA MEAT Levels.



## The Competence Assessment Tool

### COMPETENCE ASSESSMENT TOOL

A GUIDE FOR MARINE PROTECTED AREA (MPA) AND MPA NETWORK (MPAN) MANAGERS AND PRACTITIONERS

- an instrument that aims to assess the current competencies of the managers and practitioners working for the MPA/N
- aids in identifying capacity or training needs of an individual by identifying areas of competencies, or lack thereof
- part of the M&E System; a complementary tool to other assessment tool e.g. MEAT

UP Marine Science Institute

## Development of the Tool



Development of the list of competencies, criteria & guide questions

Consultation activities

Pretesting of the tool

Refinement

Finalization of the tool





Literature

review







## **Elements of the Tool**

Main components based on MPA/N-related roles and responsibilities

- Consists of 40 competencies & 236 criteria categorized under:
  - 5 main components based on MPA/N-related roles & responsibilities – planning, financing, communications, enforcement and monitoring & evaluation
  - 3 main criteria the knowledge, skills and attitude

## Who can use the Competence Assessment Tool?

| Personnel<br>Category | Typical Title           | Roles & Responsibilities  | Sample Positions   |
|-----------------------|-------------------------|---|--|
| Α                     | Executive               | <ul> <li>Direction and management of large organizations</li> <li>Involve in policy development, spatial and strategic planning.</li> <li>Cross sectoral coordination</li> <li>Direction of complex programs and plans.</li> </ul>                      | Regional Director,<br>Governor, Mayor,<br>Sangguniang Bayan                  |
| В                     | Senior<br>manager       | <ul> <li>Direction and management of medium sized organizations.</li> <li>Planning and management of projects and programs within strategic frameworks.</li> <li>Conducting and leading complex and technical programs (based on specialty).</li> </ul> | PA Superintendent, MPA Coordinator, MAO, MENRO, Fisheries Office, CRM Office |
| С                     | Technical<br>specialist | <ul> <li>Management, organization and leadership of technical sections and teams implementing plans and projects.</li> <li>Completing specific and complex technical assignments (according to technical specialty).</li> </ul>                         | Admin Officer, Head<br>Ranger/Enforcer, M&E<br>Specialist, PO Officers       |
| D                     | Skilled<br>worker       | Completing practical tasks under continuous supervision.  | Junior Technician, Admin<br>Aide, Bantay Dagat, PO<br>Members                |

# The Toolkit: A. Instruction & Personal Data Sheet

Dear SIR/ MADAM,

#### GREETINGS!

You have been requested to answer this questionnaire because you are doing an important job for your Marine Protected Area (MPA)/MPA Network (MPAN). Whether you are new or have been doing it for a long time, you probably know that there are no small tasks when it comes to managing the marine resources since it requires, not only considerable knowledge and skills, but also essential work attitudes that enable you to do your work effectively and efficiently.

This Competence Assessment Tool aims to help gauge your current level of knowledge, skills and attitudes in performing your assigned duties and responsibilities for the MPA/N. More importantly, this assessment is being done to help determine and design future trainings and other capacity building activities, and enable you to perform your best in your designated position and contribute to the achievement of the vision, goals and objectives of your MPA/N. Thus, the objective is NOT to evaluate or rate your performance, but rather to determine ways to enhance your knowledge and skills. There is no "passing grade."

You may answer in any language or dialect that you think would best reflect your ideas. Please go over the questions carefully and answer them as honestly as you can. Rest assured that all your answers will be treated with confidence.

Thank you very much for your time and patience.

Sincerely,

**FACILITATOR** 

| Name:  | No. of Years in Service: |
|--|--------------------------|
| Office/Designation:  |                          |
| Name of MPA/N being managed:   |                          |
| Specific tasks related to MPA/N management:  |                          |
| My work (100%) can be divided into: Planning<br>Communications% Enforcement              |                          |
| Educational level: Elementary level High school level F College level College graduate F |                          |
| Name of last training attended:<br>When was it conducted:                                |                          |
| Date accomplished:   |                          |
| Facilitator's Name (if applicable):  |                          |

## The Toolkit: B. Self-Assessment Form

reports, public presentations, etc.)?

#### PERSONNEL CATEGORY D

Please rate your work attitudes by ticking/checking on the boxes on the right. Tick/check only one answer per item.

|   |        | Rating (SCORE) |          |        |  |  |  |
|---|--------|----------------|----------|--------|--|--|--|
| Work Attitudes  | Not at | Some of        | Most of  | All th |  |  |  |
| <u> </u>  | all    | the time       | the time | time   |  |  |  |
| I am honest and truthful in all my dealings.  |        |                |          |        |  |  |  |
| I am approachable and easy to talk with.  |        |                |          |        |  |  |  |
| I am willing to learn new ideas and skills.   |        |                |          |        |  |  |  |
| I contribute ideas and make suggestions based on facts.   |        |                |          |        |  |  |  |
| I am diligent and work hard to accomplish the objectives of our MPA.  |        |                |          |        |  |  |  |
| I strive to perform my duties and responsibilities to the best of my abilities and without any vested interests.                      | est.   |                |          |        |  |  |  |
| I adhere to the standards of transparency and accountability in keeping financial records.  |        |                |          |        |  |  |  |
| I can do my financial and administrative duties in a systematic and efficient manner.   |        |                |          |        |  |  |  |
| I am meticulous and I always see to it that the funds are spent wisely and frugally, and liquidated properl on time.                  | ly and |                |          |        |  |  |  |
| I am always courteous and respectful when communicating with everyone regardless of their rank, positi condition, gender and beliefs. | ion,   |                |          |        |  |  |  |
| In the performance of my duties on enforcement I am always respectful of people and their rights.                                     |        |                |          |        |  |  |  |
| I am fair and honest in enforcing the law.  |        |                |          |        |  |  |  |
| I am courageous and mindful of the law when conducting enforcement activities.  |        |                |          |        |  |  |  |
| I am observant and conscientious in adhering to the procedures of enforcement.  |        |                |          |        |  |  |  |
| I am a team player and can work in collaboration with other enforcement groups.   |        |                |          |        |  |  |  |
| I am organized and have a system of keeping data, records and information properly and readily accessib                               | ole.   |                |          |        |  |  |  |

# The Toolkit: C. Evaluation Results Sheet

|                       |             |                   |                  | <u>+</u>   |                   |              |                              |                                  |            |                              |                 |             |  |
|-----------------------|-------------|-------------------|------------------|--|-------------------|--------------|------------------------------|----------------------------------|------------|------------------------------|-----------------|-------------|--|
| Name:                 |             | No. of Y          | ears in Service: |  | Kno               | wledge (F    | ()                           | s                                | kills (S)  |                              | At              | ttitude (A) |  |
| Office/Designation:   |             |                   | Component        | Total<br>Available<br>Points                       | Actual<br>Score   | %            | Total<br>Available<br>Points | Actual<br>Score                  | %          | Total<br>Available<br>Points | Actual<br>Score | %           |  |
| Name of MPA/N being m | anaged:     |                   |                  | - 1. Planning                                      | 10                |              |                              | 4                                |            |                              | 0               |             |  |
|                       |             |                   |                  | 2. Financing                                       | 4                 |              |                              | 4                                |            |                              | 9               |             |  |
|                       |             |                   |                  | 3. Communications                                  | 2                 |              |                              | 8                                |            |                              | 3               |             |  |
|                       |             |                   |                  | 4. Enforcement                                     | 18                |              |                              | 7                                |            |                              | 15              |             |  |
| Criteria              | Total Score | Competence Level* | Remarks          | 5. Monitoring and<br>Evaluation                    | 14                |              |                              | 8                                |            |                              | 3               |             |  |
| Knowledge             |             |                   |                  | Total Score  | 48                |              |                              | 31                               |            |                              | 30              |             |  |
| Skills                |             |                   |                  | _  |                   |              |                              |                                  |            |                              |                 |             |  |
|                       |             |                   |                  | _  |                   |              |                              | Α                                | ttitudes ( | General)                     | 18              |             |  |
| Attitude              |             |                   |                  |  |                   |              | Positivi                     | ty to Work (A                    | II Work A  | ttitudes)                    | 48              |             |  |
| Recommendations:      |             |                   |                  | *Total score (COM<br>KNOWLED<br>SKILLS<br>ATTITUDE | GE 0-16-<br>0-10- | -LOW<br>-LOW | 11-20 <del>-</del> 1         | MODERATE<br>MODERATE<br>MODERATE | 21-31      | L-HIGH                       |                 |             |  |

CATEGORY D PERSONNEL

# The Toolkit: D. Guide to Administering the Tool & Scoring

| PLANNING  |   |   |  |  |  |  |  |  |  |
|---|---|---|--|--|--|--|--|--|--|
| 1. Competency: Contribute to the development of the MPA/N management plan |   |   |  |  |  |  |  |  |  |
| Criteria  | Guide Questions   | Tips on how to ask/ Expected answers  | Scoring  |  |  |  |  |  |  |
| P1-K1. State and importance of coastal and marine resources               | What is the state of marine resources in your areas?  What is the importance of managing these marine resources important?              | Describe the state of coastal and marine resources (seagrass/ mangrove/ coral reefs/ fisheries etc.) of the area:  • Where are they located?  • Can you estimate their size (hectares), cover (percent, lush, thick, etc.) and condition (poor, good, excellent)?  • How about the fish catch of fishermen – what is the average fish catch?  Knows importance of marine resources such as:  • Provisioning – source of food (fish, shellfish, seaweed, timber, drugs, etc.)  • Regulating – coastal protection, prevention of erosion, water purification, carbon storage  • Cultural, spiritual, beauty               | 2=can describe status of resources<br>1=can describe at least 1<br>(plus "1", if knows importance)<br>(Max=3 points) |  |  |  |  |  |  |
| P1-K2. MPA/Network management plan  | What is your contribution to the development of the MPA/N management plan?  What are some of the contents of the MPA/N management plan? | Involvement in the development of MPA/N management:  Highly involved (and can describe process of development)  Moderately involved  Little involvement (e.g. signing invitation letters, etc.)  No involvement at all  Has an idea of the general contents of the MPA/N management plan such as:  Rationale and purpose of MPA/N or Vision, Mission and Goal setting  Present condition of marine resources  Legal framework  Organizational structure of the management body  Roles and responsibilities  Strategic action plans (for M&E, Enforcement, etc.)  Roles and responsibilities  Penalties and fines  Other | 2=Highly involved 1=moderately involved 0=No answer (plus "1", if knows contents of plan) (Max=3 points)             |  |  |  |  |  |  |

## How to use the tool?

Personnel

Category

Organizational

Structure



responsibilities

to MPA/N

Competence

assessment

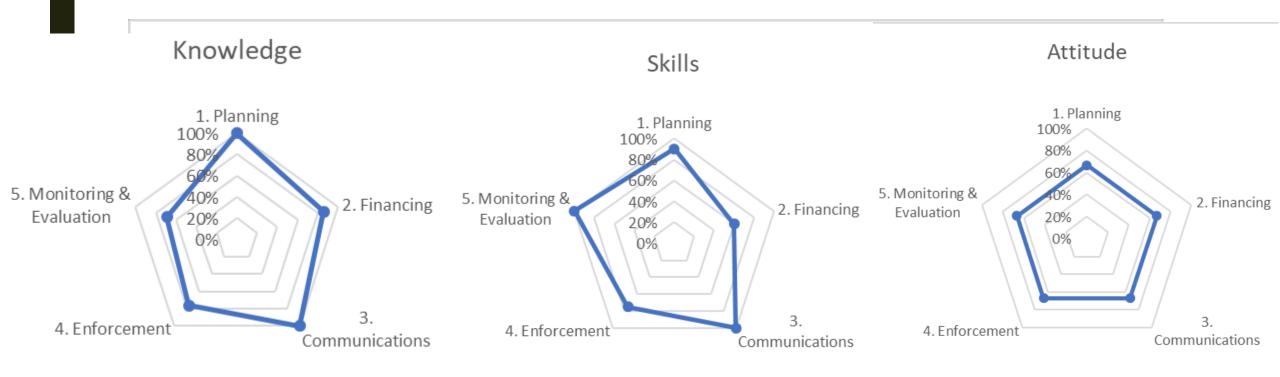
Feedback &

Intervention

# Scoring & Sample Output: Davao Gulf - Category A

|                            |  | Knowledge | Skills    | Attitude | Attitud        | e (A)  |     |
|----------------------------|--|-----------|-----------|----------|----------------|--------|-----|
| Component                  | Tot 1. Planning                            | 100%      | 90%       | 67%      | otal<br>ilable | Actual | %   |
|                            | Availa 2. Financing Poir                   | 86%       | 60%       | 67%      | oints          | Score  | 70  |
| 1. Planning                | 3. Communications                          | 100%      | 100%      | 67%      | 12             | 8      | 67% |
| 2. Financing               | 4. Enforcement                             | 76%       | 75%       | 67%      | 3              | 2      | 67% |
| 3.<br>Communications       | 5. Monitoring &<br><sup>4</sup> Evaluation | 69%       | 100%      | 67%      | 3              | 2      | 67% |
| 4. Enforcement             | 17 13 7                                    | 6% 4      | 3         | 75%      | 6              | 4      | 67% |
| 5. Monitoring & Evaluation | 13 Criteria                                | Total     | Competenc | e Level* | 9              | 6      | 67% |
| Total score                | 51   | score     |           |          | 33             | 22     | 67% |
| Knowledge                  |  | 42        | High      |          |                |        |     |
|                            | Skills                                     |           | Moderate  |          | 24             | 15     | 63% |
| Attitude                   |  | 37        | Moder     | ate      | 57             | 37     | 65% |

## Scoring & Sample Output: Davao Gulf - Category A



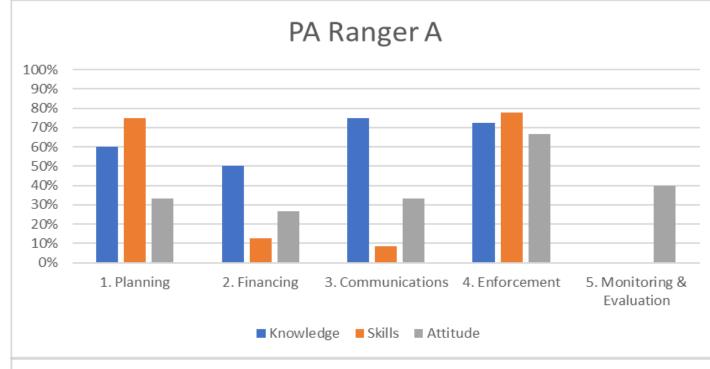
#### Sample recommendations:

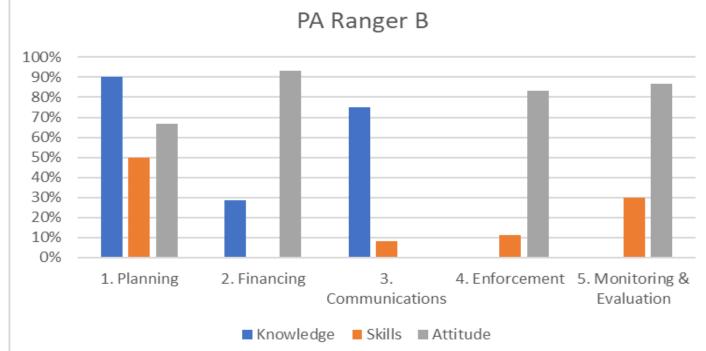
- Knowledge: Attend seminars, trainings, conferences, events focusing on adaptive management and best practices / incentive systems / best practices
- Skills: Attend trainings on financial management
- Attitude: Team building activities, character development

# Scoring & Sample Output: Tanon Strait Category C

Competence Assessment of two PA Rangers

- Targets two separate individuals
- We expect that PA Rangers have high knowledge and skills in enforcement

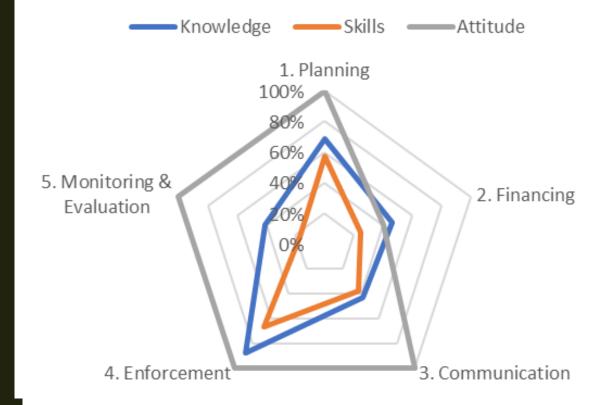


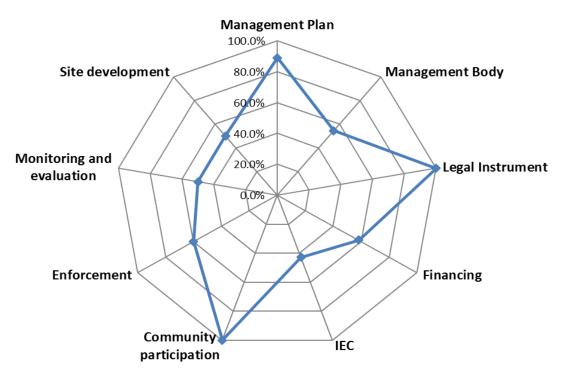


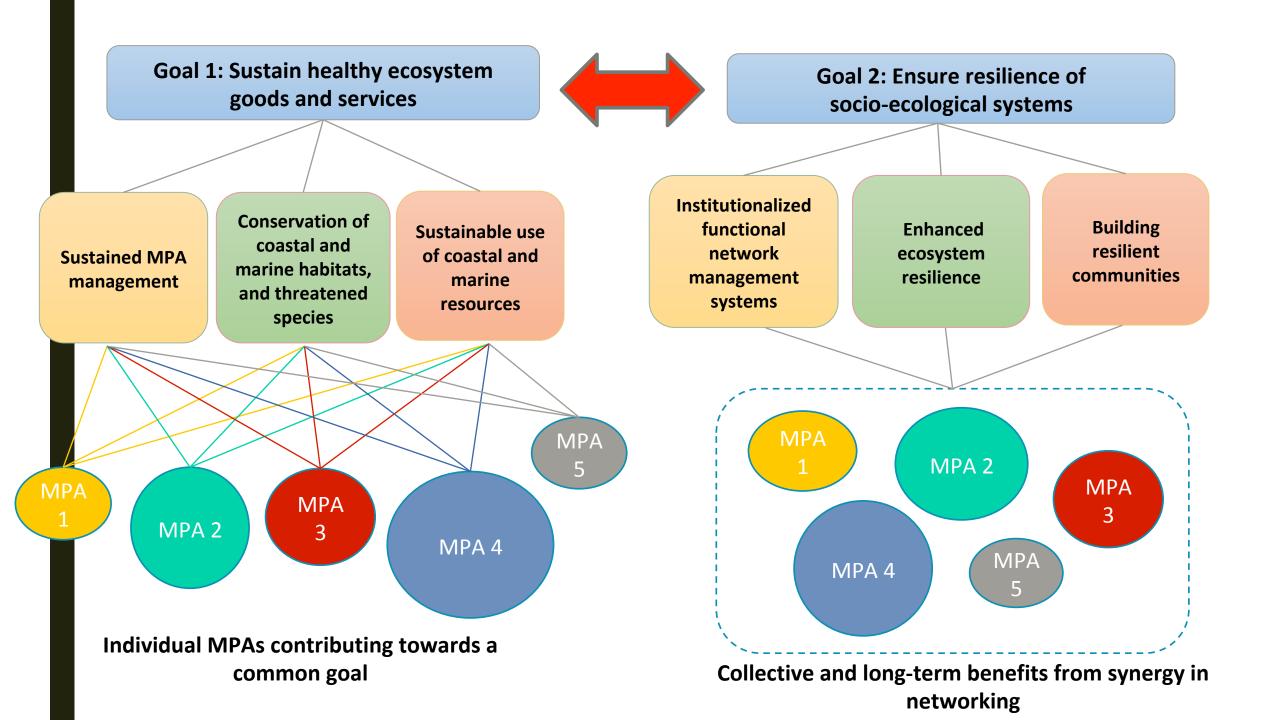
# Scoring & Sample Output: Local MPA in Davao – Category B

Competence Assessment Tool (Individual MPA Manager)

MPA Management Effectiveness Assessment Tool (Management Body)







# THANK YOU.



Strengthening Marine Protected Areas to Conserve Marine Key Biodiversity Areas in the Philippines









